Regents' Innovator Leave of Absence Request Checklist:

- 1. Criteria for Regents' Innovator Leave
 - a. Strong endorsement from the unit head (or appropriate supervisor) and Dean/Vice President
 - b. Evidence of strong effort in commercializing the faculty member's research
 - c. Evidence of market research, and relevance and viability of commercialization and/or startup plans
 - d. Preference for candidates who secured initial funding for the company, including SBIR, STTR, and/or venture funding
- 2. Form A completed by faculty member
 - Dates of leave, consistent with faculty contract dates (not to exceed 3 years; a second period of leave, up to 3 additional years, requires re-submission and reapproval)
 - b. Signed by faculty member
 - c. Without pay
 - d. Purpose explained
- 3. Form B completed by department head (addressing criteria above)
 - a. Include plan for teaching coverage, graduate student advising, service assignments
 - b. Signed by department head and dean
- 4. Faculty member's written request (addressing criteria above)
- 5. Letter of support from department head
- 6. Letter of support from dean/VP (may combine with department head's letter)
- 7. Letter of support from Executive Director of Innovation Gateway
- 8. Faculty member's current CV
- 9. Supporting documentation (e.g., inter-institutional contract, MOU, offer letter, or agreement from the company, institution, or other entity; intellectual property agreement with the company, institution, or other entity)
- 10. Compensated Outside Activities Approval Form
 - a. The form indicates that a new Approval Form is required for each Fiscal Year
 - b. However, one form (rather than duplicate forms), indicating the full date range of the leave, can be submitted, if the arrangement will be the same throughout the leave period
- 11. Conflicts of Interest (COI) Management Plan, addressing intellectual property and other terms, developed by the Director of <u>Conflicts of Interest Review and Management, in consultation with Innovation Gateway and any other relevant offices</u>
- 12. Submit to Office of Faculty Affairs (OFA) for review and processing at least six months prior to the start of the leave; OFA will:
 - a. Submit to Provost for approval
 - b. Affix President's blank signature block on COI Management Plan and on Compensated Outside Activities Form
 - c. Submit to President for approval
 - d. Prepare President's recommendation letter to the Chancellor

- e. Forward packet to USG/BOR for final approval steps
- 13. Will require unanimous recommendation of:
 - f. Provost
 - g. President
 - h. USG Chancellor
 - i. BOR Committee on Academic Affairs
 - j. BOR